Name: Charles S. Trump IV

Return completed form to:
WV Ethics Commission
210 Brooks St., Ste 300
Charleston WV 25301
304-558-0664 or 1-866-558-0664

Candidate information, if applicable
County: Morgan
Candidate for: WV Senate
Date you filed for candidacy: Jan. 16, 2014
District or circuit if applicable 15th Dist.

West Virginia Ethics Commission
Financial Disclosure Statement

W. Va. Code §§ 6B-2-6 and 7

Rev: 11-2013

Important!

- Please read and answer ***every question***. We will return incomplete forms to you for completion or correction.
- You must file a new Financial Disclosure Statement each year you hold or run for a public position.
- If this is your annual filing, the statement is due by February 1.
- If you are a new appointee, this statement is due within 30 days of the date of your appointment.
- If you are a candidate for public office, this statement is due within 10 days of filing your *Certificate of Candidacy*.
- The information you provide on this statement should cover the past calendar year.
- You may attach additional pages to this form if necessary.

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1. Name of filer and spouse

Filer last name Trump First name Charles
Spouse last name Trump First name Susan
County of residence Morgan
Business (employment) address Trump + Trump, L.C.
171 S. Washington Street
City / state / zip Berkeley Springs, WV 25411

2. Elective Office

Do you currently hold a county, circuit or state elected office? **Yes** ____ **No** ✓

If yes, title of office: ____

Are you a candidate, or do you plan to become a candidate for public office in the next election? **N/A** ____ **Yes** ✓ **No** ____

If yes, for what office: West Virginia Senate Date you filed for candidacy January 16, 2014

3. Positions on State Boards, Commissions or Agencies

List all State Boards, Commissions or Agencies on which you now serve or have served in the past 12 months, by appointment of the Governor. Include recent appointments. ☐ Mark here if N/A

WV Judicial Vacancy Advisory Commission

Name: Charles S. Trump IV

7. For-Profit Business

List the name of each for-profit business on which either you or your spouse serves on the Board of Directors or as an Officer. Describe the type of business.

☐ **Mark here if neither you nor your spouse serve on a Board of Directors or is an Officer of a for-profit business.**

Name and address of the Business	Description of the Business
self ☒ spouse☐ (see attached sheets)	
self ☐ spouse☐	
self ☐ spouse☒ (see attached sheets)	

8. Non-Profit Organization

List the name of each non-profit organization on which either you or your spouse serves on the Board of Directors or as an Officer.

☐ **Mark here if neither you nor your spouse serve on a Board of Directors or is an Officer of a non-profit.**

Name and address of the Organization	Description of the non-profit
self ☒ spouse☐ (see attached sheets)	
self ☐ spouse☐	
self ☐ spouse☒ (see attached sheets)	

9. Sales or Contracts with State, County or Local Government

During the past calendar year, did you or your spouse have any sales or contracts with any unit of state, county, or local government? **Yes** ✓ **No** _____ Sales or contracts for goods or services may be either direct or through a partnership, corporation or association in which either you or your spouse owned or controlled more than (10%) ten percent.

If yes, identify the government agency that purchased the goods or services, and describe the nature of the goods or services.

(See the instruction sheet for more information about the Ethics Act's prohibition against having an interest in a public contract.)

W. Va. Code § 6B-2-5(d)

Name of Government organization	Description of goods or services provided
self spouse X Example: State of WV DHHR	*Foster home placement studies*
self X spouse Example: Clay County Sheriff's Department	*Rental of garage space for patrol cars*
self ☒ spouse☐ (see attached sheets)	
self ☐ spouse☐	
self ☐ spouse☐	

10. Adult Children – Public Employment

List the name and business address of any adult child or step-child employed by any unit of state, county or local Government.

☐ **Mark here if this question does not apply to you.**

Name of child or step-child	Business address
(see attached sheets)	

Name: Charles S. Trump IV

This page applies to questions 13 and 14 on the next page.

**** If you are an elected official, candidate, state or higher education employee, you do not need to complete this page. Please continue to page 7 and answer questions 13 and 14 about you and your spouse.**

**** All other filers:** If you are appointed to serve on a State Board, Agency or Commission by the Governor and receive no compensation for your service, you may not be required to report certain financial information about your spouse. Complete Worksheet A to determine if the spousal exemption applies. Regardless, you still must report your own income and business information in questions 13 and 14.

Worksheet A (for questions 13 and 14)

Part 1. *Are you a Board, Agency or Commission Member appointed by the Governor?*

YES ✓ *Continue to part 2*

NO ____ ***DO NOT*** *complete parts 2 or 3 on this page. Continue to questions 13 and 14 on the next page and answer the questions for both you and your spouse.*

Part 2. *Do you hold another office or employment position that requires you to file this Financial Disclosure Statement?*

YES ____ ***DO NOT*** *complete part 3 of this page. Continue to questions 13 and 14 on the next page and answer the questions for both you and your spouse.*

NO ✓ *Continue to part 3.* (but I am a candidate for WV Senate, which requires me to file this disclosure)

Part 3. Complete this section to determine if you are exempt from disclosing certain financial information about your spouse in questions 13 and 14 on the next page.

List the name of the state Board, Commission or Agency of which you are an appointed member:

Board name: Judicial Vacancy Advisory Commission

Check each box that applies:

1. ☒ There is no compensation, per diem, salary or other payment authorized by state law for serving on this board or commission. (Excluding travel or expense reimbursement) Note: The test is not whether you decline compensation but whether it is authorized by code, statute, or law.

2. ☒ Neither my spouse nor a business with which he or she is associated is regulated by the State Board, Commission, or Agency on which I serve by appointment. ("*Associated*" is defined as a business in which your spouse, or his or her immediate family member, is a director, officer, owner, employee, compensated agent, or holder of stock which constitutes five percent or more of the total outstanding stocks of any class. "*Immediate family member*" means dependent children, grandchildren or parents.)

3. ☒ Neither my spouse nor a business with which he or she is associated has a contract with, or receives any grants or appropriations from, the state Board, Commission, or Agency on which I (the filer) serve.

➔ ***If you have checked all three boxes, then answer questions 13 and 14 on the next page as they pertain only to you.***

➔ ***If not, then answer all questions as they pertain to both you and your spouse.***

➔ **Verification & Signature:**

Under penalty of perjury, I hereby declare that the information provided herein is true.

Signature of Filer: Charles S. Trump

Print Filer Name: Charles S. Trump IV

Date: 17th of January 2014

WEST VIRGINIA ETHICS FINANCIAL DISCLOSURE STATEMENT
(W.Va. Code §6B-2-6)
Charles S. Trump IV
January 17, 2014
(covering period January 1, 2013 – December 31, 2013)

Question No. 7 ("For-Profit Businesses for which I or my spouse serves as a director or officer")

(Items 1 -5 below all relate to Charles S. Trump IV, and not his spouse)

1. CNB Financial Services, Inc.
101 S. Washington Street, Berkeley Springs, WV 25411

CNB Financial Services, Inc. is the parent holding company for CNB Bank, Inc. located at 101 S. Washington Street, Berkeley Springs, WV 25411, a West Virginia chartered banking institution. I serve as a member of the board of directors of this company. I am currently chairman of its board of directors.

2. CNB Bank, Inc.
101 S. Washington Street, Berkeley Springs, WV 25411

CNB Bank, Inc. is a West Virginia chartered banking institution. It is the wholly owned subsidiary of CNB Financial Services, Inc. located at 101 S. Washington Street, Berkeley Springs, WV 25411. I serve as a member of the board of directors of this bank. I am currently chairman of its board of directors.

3. Morgan Investment Corporation
1515 Valley Road, Berkeley Springs, WV 25411

Morgan Investment Corporation is a corporation which buys, sells and leases real estate in Morgan County, West Virginia. I serve as a director of the corporation and as the corporation's secretary.

4. Trump & Trump, L.C.
171 S. Washington Street, Berkeley Springs, WV 25411

Trump & Trump, L.C. is the law firm for which I work and in which I am a part owner (shareholder). I serve as a member of the corporation's board of directors and I am the corporation's secretary.

Charles S. Trump IV — date January 17, 2014

5. Megalopetros, LLC
171 S. Washington Street, Berkeley Springs, WV 25411

Megalopetros, LLC is a limited liability company which owns a building and real estate in Martinsburg, West Virginia, which is where Trump & Trump, L.C.'s Martinsburg office is located and other rental units are located. The LLC is member-managed, and I am a member.

(Item 6 below relates to Susan J. Trump, the spouse of Charles S. Trump IV)

6. Mountain Spice LLC
171 S. Washington Street, Berkeley Springs, WV 25411

Mountain Spice LLC is a limited liability company organized for profit in June of 2012. Susan J. Trump is a member and manager of this limited liability company. Mountain Spice LLC's business is selling food spices.

Charles S. Trump IV

Charles S. Trump IV

January 17, 2014

date

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Question No. 8 ("Non-Profit Organizations for which I or my spouse serves as a director or officer")

1. Charles S. Trump IV serves as a member of the Morgan County campaign team for the United Way of the Eastern Panhandle. The address for the United Way of the Eastern Panhandle is 218 West King Street, Martinsburg, WV 25401. The United Way of the Eastern Panhandle solicits contributions and distributes funds for community projects as well as to United Way Agencies which serve needs of panhandle residents.

2. Susan J. Trump, the spouse of Charles S. Trump IV, serves as a member of the board of directors for Hospice of the Panhandle. Hospice of the Panhandle's address is 122 Waverly Court, Martinsburg, WV 25403. Hospice of the Panhandle provides end-of-life care for residents of West Virginia's eastern panhandle, as well as respite care and bereavement services for families of terminally patients.

3. Charles S. Trump IV serves as a member of the WVU College of Law's Development Council. Among its purposes is raising funds for the WVU College of Law. The WVU College of Law's address is 101 Law School Drive, P.O. Box 6130, Morgantown, WV 26506. The Development Council's website is http://law.wvu.edu/alumni-and-giving/development-council.

4. Charles S. Trump IV serves as a member of the Board for the Morgan County War Memorial Hospital Foundation. This foundation raises funds for hospital for capital projects, charity care for patients, community outreach, education and scholarships for staff, the hospital's E.R. and trauma fund, long-term care, rehabilitation services and wellness programs. The foundation's address is c/o Morgan County War Memorial Hospital, 1 Healthy Way, Berkeley Springs, WV 25411

Charles S. Trump IV
Charles S. Trump IV

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Question No. 9 ("Sales or Contracts with Governmental Entities")
(all self, Charles S. Trump IV, and not spouse)

The law firm of Trump & Trump, L.C., a corporation in which I own more than a 10% interest, is engaged in the general practice of law in the eastern panhandle of West Virginia. Trump & Trump, L.C., is engaged from time to time by county, municipal, or other local governmental agencies to undertake legal work. In 2013, Trump & Trump, L.C. provided legal services to the following county, municipal, or other local governmental agencies:

1) The Warm Springs Public Service District, a public service district providing sewage service in Morgan County, West Virginia;
2) the Town of Paw Paw, West Virginia;
3) the Town of Bath, West Virginia;
4) the Berkeley County Solid Waste Authority;
5) the Morgan County, WV Farmland Protection Board;
6) the Preston County, WV Farmland Protection Board;

Charles S. Trump IV is also a part owner of unimproved real estate located in downtown Berkeley Springs, Morgan County, West Virginia, at the southwestern corner of the intersection of Fairfax and Mercer Streets. This real estate is opposite from the Morgan County Court House. The Morgan County Commission rents this real estate under a lease from Charles S. Trump IV and his brother and sister, who are the co-owners. The real estate is used for parking for county employees at the Court House and for the public.

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Question No. 10 ("Adult Children; public employment")

Michael J. Trump, my youngest child, who was 18 years old at the time and who had just finished his senior year of high school, worked during the summer of 2013 as a lifeguard at the public swimming pool in the Berkeley Springs State Park. The Berkeley Springs State Park is a park operated by the West Virginia Division of Natural Resources. The address for the Berkeley Springs State Park is #2 S. Washington Street, Berkeley Springs, WV 25411.

Charles S. Trump IV

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Question No.11 ("Debts")
(all self, Charles S. Trump IV, and not spouse)

A. Debts owed by me.

As of the date of this statement I owe the following debts which would appear to be reportable under the instructions for this question:

1) I have a loan with a balance of more than $5,000.00 outstanding from my personal 401(k) account within the Trump & Trump, L.C. 401(k) Plan. The account is managed by John Hancock. The loan is for money I have borrowed (essentially from my own retirement savings) for the purpose of paying tuition and other college-related expenses for my children.

2) I have a loan with a balance of more than $5,000.00 outstanding from the cash value of a whole life insurance contract upon my life with Northwestern Mutual Life Insurance Company. I am the owner of the life insurance policy, and wife is the beneficiary of the policy. The loan is for money that I have borrowed from my life insurance policy for the purpose of paying tuition and other college-related expenses for my children.

3) In 2013, I was obligated and liable to CNB Bank, Inc. as a guarantor of loans to: (1) Trump and Trump, L.C., (2) Megalopetros, LLC, and (3) Morgan Investment Corporation.

Charles S. Trump IV
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Question No.13 ("All sources of income over $1,000")
a. (Charles S. Trump IV)

Source of income	Description of income activity (or job title)
1. Trump & Trump, L.C.	wages and profit distribution for work as attorney in law firm
2. CNB Bank, Inc.	Director's fees
3. CNB Financial Services, Inc.	stock dividends and director's fees
4. Megalopteros, LLC	profit distributions from entity which owns and leases rental property
5. Morgan Investment Corporation	interest, dividends, and capital gains from entity which owns investments (S. Corp. pass-through)
6. CNB Bank, Inc.	IRA distributions for college tuition payments for child

Question No.13 ("All sources of income over $1,000")
b. (Susan J. Trump, spouse)

1. Mountain Spice, LLC	sales of cooking spices
2. IBM Corporation	stock dividends
3. Pardee Resources Company	stock dividends
4. Susan Trump Investment Management Account (IMA) (at CNB Bank, Inc.)	dividends and capital gains

Charles S. Trump IV
Charles S. Trump IV
January 17, 2014
date

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(W.Va. Code §6B-2-6)
Charles S. Trump IV
January 17, 2014
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Question No.14 ("Business and/or Property Interests")

a. (Charles S. Trump IV)

1. business interest: CNB Financial Services, Inc.
101 S. Washington Street, Berkeley Springs, WV 25411

2. business interest: Morgan Investment Corporation
1515 Valley Road, Berkeley Springs, WV 25411

3. business interest: Trump & Trump, L.C.
171 S. Washington Street, Berkeley Springs, WV 25411

4. business interest: Megalopetros, LLC
171 S. Washington Street, Berkeley Springs, WV

5. business interest: IBM
590 Madison Avenue; New York, NY 10022

6. property/commercial real estate interest: vacant lot;
southwestern corner of Fairfax and Mercer Streets, Berkeley Springs, WV 25411

7. business/property interest: Trump & Trump, L.C. 401(K) Plan
171 S. Washington Street, Berkeley Springs, WV 25411
(Holds various mutual funds and investments. This 401(K) plan in which Charles S. Trump IV is a participant is managed by John Hancock

8. business/property interest: Charles S. Trump IV Individual Retirement Account (IRA). (Holds various mutual funds and investments. This IRA is managed by the Trust Department at CNB Bank, Inc.101 S. Washington Street, Berkeley Springs, WV 25411)

9. Commercial real estate interest: law office located at 171 S. Washington Street, Berkeley Springs, WV 25411

10. business/property interest State of WV 457 Plan
(Holds various mutual funds and investments. This is a tax deferred savings plan opened and funded by Charles S. Trump IV with a portion of his Legislative pay during service in the WV House of Delegates (1993-2006). It is managed through the office of the WV Treasurer and the investment advisor is ING, One Orange Way, Windsor, CT 06095)

Charles S Trump IV

January 17, 2014
date

Question No.14 ("Business and/or Property Interests")

b. (Susan Trump, spouse)

1. business interest: IBM
590 Madison Avenue; New York, NY 10022

2. business interest: Pardee Resources Company
1717 Arch Street, 33rd Floor, Philadelphia, PA 19103

3. business/property interest: Susan Trump Investment Management Account (Holds various mutual funds and investments. This IMA is managed by the Trust Department at CNB Bank, Inc., 101 S. Washington Street, Berkeley Springs, WV 25411

4. business interest: CNB Financial Services, Inc.
101 S. Washington Street, Berkeley Springs, WV 25411

Charles S. Trump IV

January 17, 2014
date