

Name: Gauch, Charles Edward

Return completed form to:
WV Ethics Commission
210 Brooks Street, Suite 300
Charleston, WV 25301
(304)558-0664 or 1(866)558-0664



Candidate information, if applicable
County : _____
Candidate for: _____
Date you filed for candidacy: _____
District or circuit if applicable _____

West Virginia Ethics Commission Financial Disclosure Statement

2015 JUN 29 AM 11:03

Revised: 12-9-14

Directions

- Please read and answer **every question**—even if your answer is “N/A” (not applicable). Incomplete original Statements will be returned to you for completion or correction.
- You must file a new Financial Disclosure Statement **each year** you hold or run for a public position.
- If this is your annual filing, the Statement is due by February 1.
- If you are a new appointee, this Statement is due within 30 days of the date of your appointment.
- If you are a candidate for public office, this Statement is due within 10 days of filing your *Certificate of Candidacy*.
- The information you provide on this Statement covers the prior calendar year.
- You may attach additional pages to this form if necessary.

1. Name of filer and spouse

Filer's last name Gauch First name Charles Edward
 Spouse's last name Gauch First name Marilyn
 County of residence Kanawha
 Business (employment) address N/A
 City/state/zip _____

2. Elective Office

Do you currently hold a county, circuit or state elected office? **Yes** **No** _____
 If yes, title of office: WV State Senate, 8th District
 Are you a candidate, or do you plan to become a candidate for public office in the next election? **N/A** _____ **Yes** _____ **No**
 If yes, for what office: _____ Date you filed for candidacy: _____

3. Positions on State Boards, Commissions or Agencies

List all State Boards, Commissions or Agencies on which you now serve or have served in the past 12 months through appointment by the Governor. Mark here if N/A

Name: Gaunch, Charles Edward

4. Business Names

List all names under which you and/or your spouse conduct or do business. If you or your spouse are self-employed, list the name or names under which you or your spouse conducts the business, trade, sole proprietorship or profession.

Mark here if no business names to report

self spouse

Marilyn R. Gaunch

self spouse

self spouse

5. Employment

For you and your spouse, list the name and address of each full-time or part-time employer(s) during the preceding calendar year. Include all employment with city, county or state government as well as employment in the private sector. Provide your job title and a general description of your job duties. For purposes of this question, an employer is one who provides you with a W-2 form. This does not include self-employment if listed elsewhere on the Financial Disclosure Statement.

Mark here if neither you nor your spouse were employed during the past year.

Employer Name and Address		Job title and duties of your position
self <input type="checkbox"/> spouse <input type="checkbox"/>	1.	
self <input type="checkbox"/> spouse <input type="checkbox"/>	2.	
self <input type="checkbox"/> spouse <input type="checkbox"/>	3.	
self <input type="checkbox"/> spouse <input type="checkbox"/>	4.	

6. 20% Gross Income Categories for you and your spouse

Did you or your spouse receive more than 20% of your gross income during the past calendar year from any one or more of the categories listed below? Yes No If yes, mark with an 'X' all categories that apply to you and/or your spouse.

self	spouse	self	spouse	self	spouse
<u>COMPANIES</u>		<u>MINING</u>		<u>GOVERNMENT</u>	
<input type="checkbox"/>	<input type="checkbox"/> Advertising	<input type="checkbox"/>	<input type="checkbox"/> Surface mining	<input type="checkbox"/>	<input type="checkbox"/> City or town
<input type="checkbox"/>	<input type="checkbox"/> Beer, wine or liquor (or distributor)	<input type="checkbox"/>	<input type="checkbox"/> Mining equipment	<input type="checkbox"/>	<input type="checkbox"/> County
<input type="checkbox"/>	<input type="checkbox"/> Brokerage/Financial Advisor	<input type="checkbox"/>	<input type="checkbox"/> Deep mining	<input type="checkbox"/>	<input type="checkbox"/> State
<input type="checkbox"/>	<input type="checkbox"/> Cable television	<u>OIL OR GAS</u>		<u>ASSOCIATIONS OR ORGANIZATIONS</u>	
<input type="checkbox"/>	<input type="checkbox"/> Chemical	<input type="checkbox"/>	<input type="checkbox"/> Retail	<input type="checkbox"/>	<input type="checkbox"/> Labor Association/Organization
<input type="checkbox"/>	<input type="checkbox"/> Construction	<input type="checkbox"/>	<input type="checkbox"/> Wholesale	<input type="checkbox"/>	<input type="checkbox"/> Professional Association
<input type="checkbox"/>	<input checked="" type="checkbox"/> Insurance	<input type="checkbox"/>	<input type="checkbox"/> Exploration	<input type="checkbox"/>	<input type="checkbox"/> Association that promotes gaming or lottery
<input type="checkbox"/>	<input type="checkbox"/> Interstate transportation	<input type="checkbox"/>	<input type="checkbox"/> Production & Drilling	<input type="checkbox"/>	<input type="checkbox"/> Association of public employees or public officials
<input type="checkbox"/>	<input type="checkbox"/> Intrastate transportation	<u>UTILITIES</u>		<input type="checkbox"/>	<input type="checkbox"/> Trade Association or Organization
<input type="checkbox"/>	<input type="checkbox"/> Manufacturing	<input type="checkbox"/>	<input type="checkbox"/> Electric	<u>OTHER</u>	
<input type="checkbox"/>	<input type="checkbox"/> Media	<input type="checkbox"/>	<input type="checkbox"/> Gas	<input type="checkbox"/>	<input type="checkbox"/> Economic Development
<input type="checkbox"/>	<input type="checkbox"/> Promotional	<input type="checkbox"/>	<input type="checkbox"/> Telephone	<input type="checkbox"/>	<input type="checkbox"/> Hospitals or other health care providers
<input type="checkbox"/>	<input type="checkbox"/> Race tracks	<input type="checkbox"/>	<input type="checkbox"/> Water	<input type="checkbox"/>	<input type="checkbox"/> Information Technology
<input type="checkbox"/>	<input type="checkbox"/> Recreation	<u>FINANCIAL</u>		<input type="checkbox"/>	<input type="checkbox"/> Legal service providers
<input type="checkbox"/>	<input type="checkbox"/> Retail	<input type="checkbox"/>	<input type="checkbox"/> Banks, Savings & Loan Assoc.	<input type="checkbox"/>	<input type="checkbox"/> Lobbying
<input type="checkbox"/>	<input type="checkbox"/> Timber	<input type="checkbox"/>	<input type="checkbox"/> Loan or Finance Companies		
<input type="checkbox"/>	<input type="checkbox"/> Wholesale				
<input type="checkbox"/>	<input type="checkbox"/> Waste disposal				

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7. For-Profit Business
 List the name and address of each for-profit business on which either you or your spouse serves on the Board of Directors or as an officer. Describe the type of business.
 Mark here if neither you nor your spouse serve on a Board of Directors or is an officer of a for-profit business.

Name and address of the business	Description of the business
self <input type="checkbox"/> spouse <input type="checkbox"/>	
self <input type="checkbox"/> spouse <input type="checkbox"/>	
self <input type="checkbox"/> spouse <input type="checkbox"/>	

8. Non-Profit Organization
 List the name and address of each non-profit organization on which either you or your spouse serves on the Board of Directors or as an officer. Describe the non-profit organization.
 Mark here if neither you nor your spouse serve on a Board of Directors or is an officer of a non-profit organization.

Name and address of the organization	Description of the non-profit
self <input checked="" type="checkbox"/> spouse <input type="checkbox"/> Believe In WV	501c3 Benevolent Organization
self <input checked="" type="checkbox"/> spouse <input type="checkbox"/> WVSU Foundation Emeritus Member	501c5 Organization to benefit mission of WVSU
self <input type="checkbox"/> spouse <input type="checkbox"/>	

9. Sales or Contracts with State, County or Local Government
 During the past calendar year, did you or your spouse have any sales or contracts with any unit of state, county or local government? Yes _____ No (Sales or contracts for goods or services may be either direct or through a partnership, corporation or association in which either you or your spouse owned or controlled more than 10 percent.)
 If yes, identify the government agency that purchased the goods or services, and describe the nature of the goods or services. (See the instruction sheet for more information about the Ethics Act's prohibition against having an interest in a public contract under W. Va. Code § 6B-2-5(d).)

Name of Government organization	Description of goods or services provided
self spouse <input checked="" type="checkbox"/> Example: State of WV DHHR	Foster home placement studies
self <input checked="" type="checkbox"/> spouse Example: Clay County Sheriff's Department	Rental of garage space for patrol cars
self <input checked="" type="checkbox"/> spouse <input type="checkbox"/>	
self <input type="checkbox"/> spouse <input type="checkbox"/>	
self <input type="checkbox"/> spouse <input type="checkbox"/>	

10. Adult Children – Public Employment
 List the name and business address of any adult child or step-child employed by any unit of state, county or local government.
 Mark here if this question does not apply to you.

Name of child or step-child	Business address

11. DEBTS

A. Owed to others: List the names of all persons residing or transacting business in the state who you owe more than \$5,000 (in the aggregate) on the date of this Statement. Include debts you owe in the name of any other person and debts on which you are a cosigner.

You **DO NOT** have to report:

1. Debts to immediate family members, parents or grandparents
2. Home mortgages for your primary and secondary residences
3. Loans for autos maintained for the use of your immediate family
4. Student loans
5. Debts resulting from the ordinary conduct of your business, profession or occupation
6. Debts to a financial institution or to a credit card company

If any debt over \$5,000, which is otherwise non-reportable, required the approval of the state or any of its political subdivisions, or if a loan was obtained from the "Linked Deposit Program" (W. Va. Code § 12-1A-1 et seq.), you must list the debt.

Mark here if you owe no debts as described above.

B. Owed to you: List the names of all persons residing or transacting business in the state who owe you, in the aggregate, more than \$5,000 on the date of this Statement (either in your name or any other person's name for your use or benefit.)

You **DO NOT** have to report:

1. Debts from immediate family members, parents or grandparents
2. Debts resulting from the ordinary conduct of your business, profession or occupation
3. Demand or saving accounts in banks, savings and loan associations, or other similar depositories
4. Loans by you to any business in which you have an ownership interest

Mark here if you had no debts owed to you as described above.

12. GIFTS

A **gift** is anything with monetary value, including meals and beverages. If you, your spouse, and/or any of your dependents received one or more gifts whose total value is more than \$100 from a person, business or organization which has a direct and immediate interest in a governmental activity over which you have control, then list the name of each giver **UNLESS** it falls into one of the exceptions listed below. "Total value" includes the cumulative fair market value of all gifts from the same source, directly or indirectly, during the previous calendar year.

Gifts from the following sources need **NOT** be reported:

1. your spouse, child, grandchild, parents or grandparents
2. a trust established by your spouse, child, grandchild or ancestor
3. a will or lawful inheritance in the absence of a will
4. a registered lobbyist (*registered lobbyists report these expenditures on the Lobbyist Schedule A form with their Lobbyist Activity Reporting forms*)

Mark here if you received no gifts as described above.

This page applies to questions 13 and 14 on the next page.

**** If you are an elected official, candidate or state or higher education employee, you do not need to complete Worksheet A. You must, however, answer questions 13 and 14 about you and your spouse.**

**** All other filers:** If you have been appointed to serve on a State Board, Commission or Agency by the Governor and receive no compensation for your service, you may not be required to report certain financial information about your spouse. Complete Worksheet A to determine if this spousal exemption applies. You still must report your own income and business information in questions 13 and 14.

Worksheet A (for questions 13 and 14)

Part 1. Are you a State Board, Commission or Agency member appointed by the Governor?

YES Continue to Part 2.

NO **DO NOT** complete parts 2 or 3 on this page. Continue to questions 13 and 14 on the next page and answer the questions for both you and your spouse.

Part 2. Do you hold another office or employment position that requires you to file this Financial Disclosure Statement?

YES **DO NOT** complete part 3 on this page. Continue to questions 13 and 14 on the next page and answer the questions for both you and your spouse.

NO Continue to Part 3.

Part 3. Complete this section to determine if you are exempt from disclosing certain financial information about your spouse in questions 13 and 14 on the next page.

List the name of the State Board, Commission or Agency of which you are an appointed member:
Board name: _____

Check each box that applies:

1. There is no compensation, per diem, salary or other payment authorized by state law for serving on this Board or Commission. (Excluding travel or expense reimbursement) Note: The test is not whether you decline compensation but whether it is authorized by code, statute or law.
2. Neither my spouse nor a business with which he or she is associated is regulated by the State Board, Commission or Agency on which I serve by appointment. ("Associated" is defined as a business in which your spouse, or his or her immediate family member, is a director, officer, owner, employee, compensated agent or holder of stock which constitutes five percent or more of the total outstanding stocks of any class. "Immediate family member" means dependent children, grandchildren or parents.)
3. Neither my spouse nor a business with which he or she is associated has a contract with, or receives any grants or appropriations from, the State Board, Commission or Agency on which I (the filer) serve.

➔ If you have checked all three boxes in Part 3 above, then answer questions 13 and 14 on the next page as they pertain only to you.

➔ If you did not check all three boxes in Part 3, you must answer questions 13 and 14 in their entirety as they pertain to both you and your spouse.

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13. ALL sources of income over \$1,000 including employment - (To determine if you must disclose income information about your spouse, refer to Worksheet A)

- a. List every source or category of income or employment over \$1,000 received by you and/or your spouse during the preceding calendar year in your name, or by any other person for your use or benefit. Include employment even if listed elsewhere on this Statement.
- b. Include distributions received from retirement and pension accounts.
- c. Do not list specific names of clients or customers. *For example*, if you are a lawyer or an insurance agent, do not list the names of your clients.
- d. Do not disclose actual dollar amounts of income, only the source.

Indicate if the income was received by you or your spouse by marking the appropriate box in the chart below.

Categories of income over \$1,000		Description (or job title)
self <input checked="" type="checkbox"/> spouse	Example: Social Security	U.S. Government
self <input checked="" type="checkbox"/> spouse <input checked="" type="checkbox"/>	Example: Sold real estate	Sold residence in Beckley
self <input checked="" type="checkbox"/> spouse	Example: Farming/timber	Sold timber from my farm
self <input checked="" type="checkbox"/> spouse <input checked="" type="checkbox"/>	Example: Employment	Teacher, Mingo County schools
self <input checked="" type="checkbox"/> spouse <input type="checkbox"/>	Social Security	US Govt
self <input type="checkbox"/> spouse <input checked="" type="checkbox"/>	Social Security	US Govt
self <input checked="" type="checkbox"/> spouse <input type="checkbox"/>	Pension and advisory board	BB&T, Former Employer
self <input checked="" type="checkbox"/> spouse <input checked="" type="checkbox"/>	Interest Income	Various investments (attached)
self <input checked="" type="checkbox"/> spouse <input checked="" type="checkbox"/>	Dividend Income	Various Investments (attached)
self <input type="checkbox"/> spouse <input checked="" type="checkbox"/>	Rental Income	BB&T Insurance Services

14. Business and/or Property Interests - (To determine if you must disclose business or property interests of your spouse, refer to Worksheet A)

List the name and address of each business in which, during the past calendar year, you or your spouse held an interest with a fair market value of \$10,000 or more including, but not limited to: non-publicly owned businesses, publicly or privately traded stocks, bonds or securities, including those held in self-directed retirement accounts, and commercial real estate. (For purposes of this question, DO NOT include mutual funds or specific holdings in mutual funds or retirement accounts. However, distributions from retirement accounts must be reported in question 13 if they are greater than over \$1,000 annually.) Attach additional sheets if necessary.

Mark here if neither you nor your spouse had any interest in a business or real estate as described above.

self <input type="checkbox"/> spouse <input checked="" type="checkbox"/>	Example: Jones Coal Hauling, 123 Main Street, Placeville WV
self <input checked="" type="checkbox"/> spouse	Example: Stonefront Apartment Building, 123 Main Street, Charleston WV 25312
self <input checked="" type="checkbox"/> spouse <input checked="" type="checkbox"/>	Example: Acme Bank Stock, 788 Water Street, Cincinnati OH 34343
self <input type="checkbox"/> spouse <input checked="" type="checkbox"/>	Commercial Real Estate, 601 Tennessee Ave, Charleston, WV
self <input checked="" type="checkbox"/> spouse <input checked="" type="checkbox"/>	Various Investment accounts with mutual funds, individual stocks and bonds List attached
self <input checked="" type="checkbox"/> spouse <input type="checkbox"/>	Energy Services of America Corp., Huntington, WV

Rev: 12-9-14



Schwab One® Account of
C EDWARD GAUNCH &
MARILYN GAUNCH JT TEN

Investment Detail - Fixed Income

	Par	Market Price
Municipal Bonds		
MONONGALIA CN WV HO		
3%24		
BLDG LEAS DUE 02/01/24		
XTRO		
CALLABLE 02/01/21 AT		
100.00000		
CUSIP: 61021PAK7		
MOODY'S: Aa3 S&P: NR		
MONONGALIA CN WV HO		
3%25		
BLDG LEAS DUE 02/01/25		
OID XTRO		
CALLABLE 02/01/21 AT		
100.00000		
CUSIP: 61021PAL5		
MOODY'S: Aa3 S&P: NR		
PUTNAM PUB SVC WV		
3%19		
UTIL SWR DUE 04/01/19		
CUSIP: 74685TAF0		
MOODY'S: NR S&P: N/R		

Schwab has provided accurate gain and loss information wherever possible for most investments. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and



Brokerage Account of
C EDWARD GAUNCH

Investment Detail - Mutual Funds

Equity Funds	Quantity	Market Price
ABSOLUTE STRATEGIES INST (M) Q SYMBOL: ASFIX	[REDACTED]	[REDACTED]
Total Equity Funds	[REDACTED]	
Total Mutual Funds	[REDACTED]	

Investment Detail - Other Assets

Other Assets	Quantity	Market Price
GOVERNMENT PPTY INCM TR (M) REIT SYMBOL: GOV	[REDACTED]	[REDACTED]
HEALTH CARE REIT INC (M) REIT SYMBOL: HCN	[REDACTED]	[REDACTED]
ISHARES SELECT DIVIDEND (M) ETF SYMBOL: DVY	[REDACTED]	[REDACTED]
Total Other Assets	[REDACTED]	
		Total Cost Basis:

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols



Investment Detail - Equities

	Quantity	Market Price
Equities		
A T & T INC NEW (M) SYMBOL: T	[REDACTED]	[REDACTED]
BP PLC ADR F (M) SPONSORED ADR 1 ADR REP 6 ORD SYMBOL: BP	[REDACTED]	[REDACTED]
KAYNE ANDERSON ENERGY FD (M) TOTAL RETURN FUND SYMBOL: KYE	[REDACTED]	[REDACTED]
KAYNE ANDERSON MLP INVT (M) SYMBOL: KYN	[REDACTED]	[REDACTED]
TOTAL S A ADR F (M) 1 ADR REP 1 ORD SYMBOL: TOT	[REDACTED]	[REDACTED]
Total Equities	[REDACTED]	[REDACTED]
		Total Cost Basis:

Estimated Annual Income ("EAI") and Estimated Yield ("EY") calculations are for informational purposes upon EAI and the current price of the security and will fluctuate. For certain types of securities, the calculation may be overstated. EY and EAI are not promptly updated to reflect when an issuer has missed a regular payment or prior rate.

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis information is provided for informational purposes only. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols of



Investment Detail - Fixed Income

	Par	Market Price	Marl
Municipal Bonds			
BERKELEY CNTY W 4.125%23 (M) UTIL WTR DUE 12/01/23 OID ASSURED GUARANTY MUN CALLABLE 12/01/16 AT 100.00000 CUSIP: 084246FX0 MOODY'S: A2 S&P: N/R	[REDACTED]	[REDACTED]	
BERKELEY CNTY WV 3.25%21 (M) GO UTX DUE 05/01/21 OID CALLABLE 05/01/20 AT 100.00000 CUSIP: 084239FH0 MOODY'S: NR S&P: AA	[REDACTED]	[REDACTED]	
PARKERSBURG WV W/S 4%18 (M) UTIL COMB DUE 09/01/18 OID NATIONAL PUBLIC FINA CALLABLE 09/01/15 AT 100.00000 CUSIP: 701352CX2 MOODY'S: A3 S&P: N/R	[REDACTED]	[REDACTED]	

Schwab has provided accurate gain and loss information wherever possible for most investment. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and sy

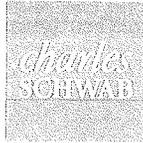


Schwab One® Account of
C EDWARD GAUNCH &
MARILYN GAUNCH JT TEN

Investment Detail - Other Assets

	Quantity	Market Price
Other Assets		
SPDR S&P 500 ETF TR EXPIRING 01/22/2118 SYMBOL: SPY	[REDACTED]	[REDACTED]
Total Other Assets	[REDACTED]	
		Total Cost Basis:

Estimated Annual Income ("EAI") and Estimated Yield ("EY") calculations are for informational purposes upon EAI and the current price of the security and will fluctuate. For certain types of securities, the calculation may be overstated. EY and EAI are not promptly updated to reflect when an issuer has missed a regular payment or prior rate.



Schwab One® Account of
**C EDWARD GAUNCH &
 MARILYN GAUNCH JT TEN**

Investment Detail - Equities

	Quantity	Market Price
Equities		
B C E INC NEW F		
SYMBOL: BCE		
CONOCOPHILLIPS		
SYMBOL: COP		
FARMER MAC 6.875% PFD		
PF SER B		
SYMBOL: AGM+B		
GLAXOSMITHKLINE PLC ADRF		
SPONSORED ADR		
1 ADR REP 2 ORD		
SYMBOL: GSK		
VERIZON COMMUNICATIONS		
SYMBOL: VZ		
WEYERHAEUSER 6.375% PFD		
PF SER A A ADUE 07/01/16		
SYMBOL: WY+A		
Total Equities		
	Total Cost Basis:	

Estimated Annual Income ("EAI") and Estimated Yield ("EY") calculations are for informational purposes upon EAI and the current price of the security and will fluctuate. For certain types of securities, the calculation may be overstated. EY and EAI are not promptly updated to reflect when an issuer has missed a regular payment or prior rate.

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis information is provided for informational purposes only. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols.



Investment Detail - Fixed Income (continued)

	Par	Market Price	Mar
Municipal Bonds (continued)			(
WV SCH BLDG AUTH 4.5%15			11
AUTH BLDG DUE 07/01/15			plea
OID AMBAC INDEMNITY CORP			
CALLABLE 01/01/15 AT 100.00000			
CUSIP: 956540MQ8 MOODY'S: Aa2 S&P: AA-			
Total Municipal Bonds			11
		Total Cost Basis:	7
Total Fixed Income			11
		Total Cost Basis:	7

Accrued Interest represents the interest that would be received if the fixed income investment were held to maturity. Estimated Annual Income ("EAI") and Estimated Yield ("EY") calculations are for informational purposes only and are based upon EAI and the current price of the security and will fluctuate. For certain types of securities, EY may be overstated. EY and EAI are not promptly updated to reflect when an issuer has missed a regular interest payment.

Schwab has provided accurate gain and loss information wherever possible for most investment securities. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols.

**FIXED INCOME
MUNICIPAL BONDS**

105745BC6
BRAXTON CNTY W VA BRD ED PUB SCH
GO FSA INS DTD 09/30/08 4%
05/01/2018

CC

305349AZ5
FAIRMONT ST UNIV BRD GOVERNORS
WV REV REF SER B DTD 06/12/2012
4% 06/01/2016

305349BF8
FAIRMONT ST UNIV BRD GOVERNORS
WV REVENUE REF-SER B DTD
06/12/2012 5% 06/01/2022-2021

393658CR8
GREENBRIER CNTY W VA BRD ED PUB
SCH GO DTD 03/26/2009 3.5%
05/01/2016

NC

PRINCIPAL PORTFOLIO STATEMENT

RF	DESCRIPTION	RATING	PAR
II	MUNICIPAL BONDS		
XC VI DE D	569399BJ6 MARION CNTY WV BRD OF EDU PUB SCH GO DTD 03/02/2011 4% 05/01/2020	AA	10
Y VI R IL	572716HQ4 MARSHALL UNIV WV UNIV REVS REF DTD 11/17/2010 4% 05/01/2017		10
EF C II %	728891AW2 PLEASANT'S CNTY W VA BRD ED PUB SCH GO DTD 07/13/2011 3% 05/01/2015	AA	5
U	741141AR6 PRESTON CNTY W VA BRD ED PUB SCH DTD 6/2/11 3% 05/01/2019	AA	5
V : : :	746690CK0 PUTNAM CNTY W VA BRD OF EDU PUB SCH GO DTD 03/24/2010 4% 05/01/2018	AA	10
XED	95639PAE3 WEST VIRGINIA ECON DEV AUTH SER A DTD 8/17/2010 4% 06/15/2015	AAA	10
	95639RFA2 WEST VA HGR EDU POLICY COMM REV REV HGR REDU FACS SER B DTD 06/26/2012 3% 04/01/2016	A+	4
	95648MMP1 WEST VIRGINIA ST ECON DEV AUTH LEASE REVENUE REF- CORRL JUVENILE PUBLIC SAFETY FACS-SER A DTD 04/19/2012 5% 06/01/2020	AA-	5
	95648MMR7 WEST VIRGINIA ST ECON DEV AUTH LEASE REVENUE REF-CORRL JUVENILE PUBLIC SAFETY FACS-SER A DTD 04/19/2012 5% 06/01/2022	AA-	10
	95648MPE3 WEST VIRGINIA ST ECON DEV AUTH LEASE REV REF THE DIAMOND PROJ DTD 05/09/2012 2.375% 12/15/2021-2018	AA-	5
	956553VJ7 WEST VIRGINIA ST REF GO DTD 07/22/2010 4% 06/01/2019	AA	5
	956622LT9 WEST VIRGINIA ST HOSP FIN AUTH HOSP REV DTD 01/01/1993 6.5% 09/01/2016-2015		5

PRINCIPAL PORTFOLIO STATEMENT

DESCRIPTION

TITLE

EQUITIES

FINANCIALS

054937107

BB&T CORP COMMON

**** TOTAL FINANCIALS**

MUTUAL FUNDS

277902698

EATON VANCE ATLANTA CAPITAL
SMID-CAP FUND CL I FUND # 948

411511306

HARBOR INTERNATIONAL FUND INST
CLASS #11

412295107

HARDING LOEVNER INTERNATIONAL
EQUITY INST CL FUND # 4951

464287168

ISHARES DJ SELECT DIVIDEND INDEX
FUND

464287465

ISHARES MSCI EAFE INDEX FUND

78462F103

S & P 500 DEPOSITORY RECEIPT

**** TOTAL MUTUAL FUNDS**

*** TOTAL EQUITIES**

TOTAL PRINCIPAL ASSETS