Name: MENis E Ketchum

Return completed form to:
WV Ethics Commission
210 Brooks Street, Suite 300
Charleston, WV 25301
(304)558-0664 or 1(866)558-0664

Candidate information, if applicable
County: _____
Candidate for: _____
Date you filed for candidacy: _____
District or circuit if applicable _____

West Virginia Ethics Commission
Financial Disclosure Statement

Received
JAN - 8 2016
WV Ethics Commission

Revised: 12-9-14

Directions

- Please read and answer ***every question***—even if your answer is "N/A" (not applicable). Incomplete original Statements will be returned to you for completion or correction.
- You must file a new Financial Disclosure Statement each year you hold or run for a public position.
- If this is your annual filing, the Statement is due by February 1.
- If you are a new appointee, this Statement is due within 30 days of the date of your appointment.
- If you are a candidate for public office, this Statement is due within 10 days of filing your *Certificate of Candidacy*.
- The information you provide on this Statement covers the prior calendar year.
- You may attach additional pages to this form if necessary.

1. Name of filer and spouse

Filer's last name Ketchum First name MENis
Spouse's last name Ketchum First name Judy
County of residence Cabell
Business (employment) address Room E 305 (Supreme Court)
State Capitol
City/state/zip Charleston, WV 25304

2. Elective Office

Do you currently hold a county, circuit or state elected office? **Yes** X **No** ____

If yes, title of office: Supreme Court Justice

Are you a candidate, or do you plan to become a candidate for public office in the next election? **N/A** ____ **Yes** ____ **No** X

If yes, for what office: ____ Date you filed for candidacy: ____

3. Positions on State Boards, Commissions or Agencies

List all State Boards, Commissions or Agencies on which you now serve or have served in the past 12 months through appointment by the Governor. ❒ Mark here if N/A

NONE

Name: MENIS E Ketchum

4. Business Names

List all names under which you and/or your spouse conduct or do business. If you or your spouse are self-employed, list the name or names under which you or your spouse conducts the business, trade, sole proprietorship or profession.

☐ **Mark here if no business names to report**

self ☐ spouse☐

self ☐ spouse☐ NONE

self ☐ spouse☐

5. Employment

For you and your spouse, list the name and address of each full-time or part-time employer(s) during the preceding calendar year. Include all employment with city, county or state government as well as employment in the private sector. Provide your job title and a general description of your job duties. For purposes of this question, an employer is one who provides you with a W-2 form. This does not include self-employment if listed elsewhere on the Financial Disclosure Statement.

☐ **Mark here if neither you nor your spouse were employed during the past year.**

	Employer Name and Address	Job title and duties of your position
self ☒ spouse☐	1. WV Supreme Court	Justice
self ☐ spouse☒	2. NONE	
self ☐ spouse☐	3.	
self ☐ spouse☐	4.	

6. 20% Gross Income Categories for you and your spouse

Did you or your spouse receive more than 20% of your gross income during the past calendar year from any one or more of the categories listed below? Yes _____ No X If yes, mark with an 'X' all categories that apply to you and/or your spouse.

self	*spouse*	
		COMPANIES
☐	☐	Advertising
☐	☐	Beer, wine or liquor (or distributor)
☐	☐	Brokerage/Financial Advisor
☐	☐	Cable television
☐	☐	Chemical
☐	☐	Construction
☐	☐	Insurance
☐	☐	Interstate transportation
☐	☐	Intrastate transportation
☐	☐	Manufacturing
☐	☐	Media
☐	☐	Promotional
☐	☐	Race tracks
☐	☐	Recreation
☐	☐	Retail
☐	☐	Timber
☐	☐	Wholesale
☐	☐	Waste disposal

self	*spouse*	
		MINING
☐	☐	Surface mining
☐	☐	Mining equipment
☐	☐	Deep mining
		OIL OR GAS
☐	☐	Retail
☐	☐	Wholesale
☐	☐	Exploration
☐	☐	Production & Drilling
		UTILITIES
☐	☐	Electric
☐	☐	Gas
☐	☐	Telephone
☐	☐	Water
		FINANCIAL
☐	☐	Banks, Savings & Loan Assoc.
☐	☐	Loan or Finance Companies

self	*spouse*	
		GOVERNMENT
☐	☐	City or town
☐	☐	County
☐	☐	State
		ASSOCIATIONS OR ORGANIZATIONS
☐	☐	Labor Association/Organization
☐	☐	Professional Association
☐	☐	Association that promotes gaming or lottery
☐	☐	Association of public employees or public officials
☐	☐	Trade Association or Organization
		OTHER
☐	☐	Economic Development
☐	☐	Hospitals or other health care providers
☐	☐	Information Technology
☐	☐	Legal service providers
☐	☐	Lobbying

Name: MENIS E. KETCHUM

7. For-Profit Business

List the name and address of each for-profit business on which either you or your spouse serves on the Board of Directors or as an officer. Describe the type of business.

☐ **Mark here if neither you nor your spouse serve on a Board of Directors or is an officer of a for-profit business.**

Name and address of the business	Description of the business
self ☒ spouse☐ NONE	
self ☐ spouse☒ NONE	
self ☐ spouse☐	

8. Non-Profit Organization

List the name and address of each non-profit organization on which either you or your spouse serves on the Board of Directors or as an officer. Describe the non-profit organization.

☐ **Mark here if neither you nor your spouse serve on a Board of Directors or is an officer of a non-profit organization.**

Name and address of the organization	Description of the non-profit
self ☒ spouse☐ NONE	
self ☐ spouse☒ NONE	
self ☐ spouse☐	

9. Sales or Contracts with State, County or Local Government

During the past calendar year, did you or your spouse have any sales or contracts with any unit of state, county or local government? **Yes** _____ **No** X **(**Sales or contracts for goods or services may be either direct or through a partnership, corporation or association in which either you or your spouse owned or controlled more than 10 percent.)

If yes, identify the government agency that purchased the goods or services, and describe the nature of the goods or services. (See the instruction sheet for more information about the Ethics Act's prohibition against having an interest in a public contract under W. Va. Code § 6B-2-5(d).)

Name of Government organization	Description of goods or services provided
self spouse X Example: State of WV DHHR	*Foster home placement studies*
self X spouse Example: Clay County Sheriff's Department	*Rental of garage space for patrol cars*
self ☐ spouse☐ N/A	
self ☐ spouse☐	
self ☐ spouse☐	

10. Adult Children – Public Employment

List the name and business address of any adult child or step-child employed by any unit of state, county or local government.

☐ **Mark here if this question does not apply to you.**

Name of child or step-child	Business address
Chad Ketchum (SON)	Workforce Development, State Capitol, Charleston, WV 25301

Name: MENIS E. Ketchum

11. DEBTS

A. Owed to others: List the names of all persons residing or transacting business in the state who you owe more than $5,000 (in the aggregate) on the date of this Statement. Include debts you owe in the name of any other person and debts on which you are a cosigner.

You **DO NOT** have to report:

1. Debts to immediate family members, parents or grandparents
2. Home mortgages for your primary and secondary residences
3. Loans for autos maintained for the use of your immediate family
4. Student loans
5. Debts resulting from the ordinary conduct of your business, profession or occupation
6. Debts to a financial institution or to a credit card company

If any debt over $5,000, which is otherwise non-reportable, required the approval of the state or any of its political subdivisions, or if a loan was obtained from the "Linked Deposit Program" (W. Va. Code § 12-1A-1 et seq.), you must list the debt.

☒ **Mark here if you owe no debts as described above.**

NONE

B. Owed to you: List the names of all persons residing or transacting business in the state who owe you, in the aggregate, more than $5,000 on the date of this Statement (either in your name or any other person's name for your use or benefit.)

You **DO NOT** have to report:

1. Debts from immediate family members, parents or grandparents
2. Debts resulting from the ordinary conduct of your business, profession or occupation
3. Demand or saving accounts in banks, savings and loan associations, or other similar depositories
4. Loans by you to any business in which you have an ownership interest

☒ **Mark here if you had no debts owed to you as described above.**

None

12. GIFTS

A **gift** is anything with monetary value, including meals and beverages. If you, your spouse, and/or any of your dependents received one or more gifts whose total value is more than $100 from a person, business or organization which has a direct and immediate interest in a governmental activity over which you have control, then list the name of each giver UNLESS it falls into one of the exceptions listed below. "Total value" includes the cumulative fair market value of all gifts from the same source, directly or indirectly, during the previous calendar year.

Gifts from the following sources need **NOT** be reported:

1. your spouse, child, grandchild, parents or grandparents
2. a trust established by your spouse, child, grandchild or ancestor
3. a will or lawful inheritance in the absence of a will
4. a registered lobbyist (*registered lobbyists report these expenditures on the Lobbyist Schedule A form with their Lobbyist Activity Reporting forms)*

☒ **Mark here if you received no gifts as described above**.

None

Name: _____

This page applies to questions 13 and 14 on the next page.

**** If you are an elected official, candidate or state or higher education employee, you do not need to complete Worksheet A. You must, however, answer questions 13 and 14 about you and your spouse.**

**** All other filers:** If you have been appointed to serve on a State Board, Commission or Agency by the Governor and receive no compensation for your service, you may not be required to report certain financial information about your spouse. Complete Worksheet A to determine if this spousal exemption applies. You still must report your own income and business information in questions 13 and 14.

N/A

Worksheet A (for questions 13 and 14)

Part 1. *Are you a State Board, Commission or Agency member appointed by the Governor?*

YES ____ *Continue to Part 2.*

NO ____ ***DO NOT*** *complete parts 2 or 3 on this page. Continue to questions 13 and 14 on the next page and answer the questions for both you and your spouse.*

Part 2. *Do you hold another office or employment position that requires you to file this Financial Disclosure Statement?*

YES ____ ***DO NOT*** *complete part 3 on this page. Continue to questions 13 and 14 on the next page and answer the questions for both you and your spouse.*

NO ____ *Continue to Part 3.*

Part 3. Complete this section to determine if you are exempt from disclosing certain financial information about your spouse in questions 13 and 14 on the next page.

List the name of the State Board, Commission or Agency of which you are an appointed member:
Board name: _____

Check each box that applies:

1. ☐ There is no compensation, per diem, salary or other payment authorized by state law for serving on this Board or Commission. (Excluding travel or expense reimbursement) Note: The test is not whether you decline compensation but whether it is authorized by code, statute or law.

2. ☐ Neither my spouse nor a business with which he or she is associated is regulated by the State Board, Commission or Agency on which I serve by appointment. ("*Associated*" is defined as a business in which your spouse, or his or her immediate family member, is a director, officer, owner, employee, compensated agent or holder of stock which constitutes five percent or more of the total outstanding stocks of any class. "*Immediate family member*" means dependent children, grandchildren or parents.)

3. ☐ Neither my spouse nor a business with which he or she is associated has a contract with, or receives any grants or appropriations from, the State Board, Commission or Agency on which I (the filer) serve.

➔ ***If you have checked all three boxes in Part 3 above, then answer questions 13 and 14 on the next page as they pertain only to you.***

➔ ***If you did not check all three boxes in Part 3, you must answer questions 13 and 14 in their entirety as they pertain to both you and your spouse.***

Name: MENIS E. Ketchum

13. *ALL* sources of income over $1,000 including employment - *(To determine if you must disclose income information about your spouse, refer to Worksheet A)*

a. List every source or category of income or employment over $1,000 received by you and/or your spouse during the preceding calendar year in your name, or by any other person for your use or benefit. Include employment even if listed elsewhere on this Statement.
b. Include distributions received from retirement and pension accounts.
c. Do not list specific names of clients or customers. *For example*, if you are a lawyer or an insurance agent, do not list the names of your clients.
d. Do not disclose actual dollar amounts of income, only the source.

Indicate if the income was received by you or your spouse by marking the appropriate box in the chart below.

	Categories of income over $1,000	Description (or job title)
self X spouse	*Example: Social Security*	*U.S. Government*
self X spouse X	*Example: Sold real estate*	*Sold residence in Beckley*
self X spouse	*Example: Farming/timber*	*Sold timber from my farm*
self spouse X	*Example: Employment*	*Teacher, Mingo County schools*
self ☒ spouse ☒	Social security retirement	Retirement
self ☒ spouse ☒	IRA accounts at Raymond James, Broker	2 IRA's
self ☒ spouse ☒	multiple west virginia county + municipal Bonds	Tax Exempt Bonds
self ☒ spouse ☒	Chevron Corporation	stock Dividends
self ☒ spouse ☒	Federal Home Loan Bank Debentures	Federal Bonds
self ☒ spouse ☒	Discover Bank, Certificate of Deposits	certificates of Deposit

(continued on Exhibit 1)

14. Business and/or Property Interests - (*To determine if you must disclose business or property interests of your spouse, refer to Worksheet A)*

List the name and address of each business in which, during the past calendar year, you or your spouse held an interest with a fair market value of $10,000 or more including, but not limited to: non-publicly owned businesses, publicly or privately traded stocks, bonds or securities, including those held in self-directed retirement accounts, and commercial real estate. (For purposes of this question, DO NOT include mutual funds or specific holdings in mutual funds or retirement accounts. However, distributions from retirement accounts must be reported in question 13 if they are greater than over $1,000 annually.) Attach additional sheets if necessary.

☒ **Mark here if neither you nor your spouse had any interest in a business or real estate as described above.**

self spouse X	*Example: Jones Coal Hauling, 123 Main Street, Placeville WV*
self X spouse	*Example: Stonefront Apartment Building, 123 Main Street, Charleston WV 25312*
self X spouse X	*Example: Acme Bank Stock, 788 Water Street, Cincinnati OH 34343*
self ☐ spouse ☐	
self ☐ spouse ☐	NONE
self ☐ spouse ☐	

Rev: 12-9-14

#13 continued

Claymore ETF Trust — a solar spyder-symbol TAN

SpDR S+P500 Trust — a S+P500 spyder-symbol SPY

Travelers Access Annuity — an annuity

Federal National Mortgage Association Bonds — Federal Bonds

Washington National Mutual Investors Mutual Fund — Mutual Fund

Capital Income Builders class A — Income Fund

Titan Pharmaceuticals (TTNP) — stock

NV Verra Environmental Solutions — stock

Brokerage account at Raymond James — stocks, Bonds, cash investment

" " " "

Brokerage Account at Hilliard Lyons —

My west Virginia Bonds previously referred to are held at Raymond James + Hilliard Lyons. There are about 10 different Bonds → Tax exempt Bonds

Exhibit 1