

Name: \_\_\_\_\_

Return completed form to:  
WV Ethics Commission  
210 Brooks St., Ste 300  
Charleston, WV 25301  
304-558-0664 or 1-866-558-0664



Candidate information, if applicable  
County: \_\_\_\_\_  
Candidate for: \_\_\_\_\_  
Date you filed for candidacy: \_\_\_\_\_  
District or circuit if applicable: \_\_\_\_\_

# West Virginia Ethics Commission Financial Disclosure Statement

W. Va. Code §§ 6B-2-6 and 7

Rev: 11-2012

RECEIVED  
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2013 JAN 31 PM 2:16

### Important!

- Please read and answer *every question*. We will return incomplete forms to you for completion or correction.
- You must file a new Financial Disclosure Statement each year you hold or run for a public position.
- If this is your annual filing, the statement is due by February 1.
- If you are a new appointee, this statement is due within 30 days of the date of your appointment.
- If you are a candidate for public office, this statement is due within 10 days of filing your *Certificate of Candidacy*.
- The information you provide on this statement should cover the past calendar year.
- You may attach additional pages to this form if necessary.

### 1. Name of filer and spouse

Filer last name Ashley First name Bob  
 Spouse last name Ashley First name Anita Harold  
 County of residence Roane  
 Business (employment) address PO Box 823  
223 Main St  
 City / state / zip Spencer WV 25276

### 2. Elective Office

Do you currently hold a county, circuit or state elected office? Yes  No

If yes, title of office: House of Delegates 11th District

Are you a candidate, or do you plan to become a candidate for public office in the next election? N/A  Yes  No

If yes, for what office: House of Delegates Date you filed for candidacy No

### 3. Positions on State Boards, Commissions or Agencies

List all State Boards, Commissions or Agencies on which you now serve or have served in the past 12 months, by appointment of the Governor. Include recent appointments.  Mark here if N/A

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Name: \_\_\_\_\_

#### 4. Business Names

List all names under which you and/or your spouse conduct or do business. If you or your spouse is self-employed, list the name or names under which you or your spouse conducts the business, trade, sole proprietorship or profession.

Mark here if no business names to report

|  |                               |
|--|-------------------------------|
| self <input checked="" type="checkbox"/> spouse <input type="checkbox"/> | Ashley Insurance Agency       |
| self <input type="checkbox"/> spouse <input checked="" type="checkbox"/> | Anita Harold Ashley, Attorney |
| self <input type="checkbox"/> spouse <input type="checkbox"/>            |                               |

#### 5. Employment

For you and your spouse, list the name and address of each employer(s) during the preceding calendar year. Include all employment with city, county or state government as well as employment in the private sector. Provide your job title and a general description of your job duties. For purposes of this question, an employer is one who provides you with a W-2 Form. This does not include self-employment if listed elsewhere on the form.

Mark here if neither you nor your spouse were employed during the past year.

|  | Employer Name and Address                  | Job title and duties of your position |
|--|--|---------------------------------------|
| self <input checked="" type="checkbox"/> spouse <input type="checkbox"/> | 1. WV House of Delegates<br>Charleston, WV | Delegate                              |
| self <input type="checkbox"/> spouse <input type="checkbox"/>            | 2.   |                                       |
| self <input type="checkbox"/> spouse <input type="checkbox"/>            | 3.   |                                       |
| self <input type="checkbox"/> spouse <input type="checkbox"/>            | 4.   |                                       |

#### 6. 20% Gross Income Categories for you and your spouse

Did you or your spouse receive more than 20% of your gross income during the past calendar year from any one or more of the categories listed below? Yes  No  If yes, mark with an 'X' all categories that apply to you and/or your spouse.

| self spouse  | self spouse  | self spouse   |
|--|--|---|
| <p><u>COMPANIES</u></p> <input type="checkbox"/> Advertising<br><input type="checkbox"/> Beer, wine or liquor (or distributor)<br><input type="checkbox"/> Cable television<br><input type="checkbox"/> Chemical<br><input checked="" type="checkbox"/> Construction<br><input type="checkbox"/> Insurance<br><input type="checkbox"/> Intrastate transportation<br><input type="checkbox"/> Interstate transportation<br><input type="checkbox"/> Media<br><input type="checkbox"/> Manufacturing<br><input type="checkbox"/> Promotional<br><input type="checkbox"/> Race tracks<br><input type="checkbox"/> Recreation<br><input type="checkbox"/> Retail<br><input type="checkbox"/> Timber<br><input type="checkbox"/> Wholesale<br><input type="checkbox"/> Waste disposal | <p><u>MINING</u></p> <input type="checkbox"/> Surface mining<br><input type="checkbox"/> Mining equipment<br><input type="checkbox"/> Deep mining<br><p><u>OIL OR GAS</u></p> <input type="checkbox"/> Retail<br><input type="checkbox"/> Wholesale<br><input type="checkbox"/> Exploration<br><input type="checkbox"/> Production & Drilling<br><p><u>UTILITIES</u></p> <input type="checkbox"/> Electric<br><input type="checkbox"/> Gas<br><input type="checkbox"/> Telephone<br><input type="checkbox"/> Water<br><p><u>FINANCIAL</u></p> <input type="checkbox"/> Banks<br><input type="checkbox"/> Savings and Loan Associations<br><input type="checkbox"/> Loan or Finance Companies | <p><u>GOVERNMENT</u></p> <input type="checkbox"/> City or town<br><input type="checkbox"/> County<br><input type="checkbox"/> State<br><p><u>ASSOCIATION OR ORGANIZATIONS</u></p> <input type="checkbox"/> Labor Association/Organization<br><input type="checkbox"/> Professional Association<br><input type="checkbox"/> Association that promotes gaming or lottery<br><input type="checkbox"/> Association of public employees or public officials<br><input type="checkbox"/> Trade Association or Organization<br><p><u>OTHER</u></p> <input type="checkbox"/> Economic Development<br><input type="checkbox"/> Hospitals or other health care providers<br><input type="checkbox"/> Information Technology<br><input checked="" type="checkbox"/> Legal service providers<br><input type="checkbox"/> Lobbying |

Name: \_\_\_\_\_

### 7. For-Profit Business

List the name of each for-profit business on which either you or your spouse serves on the Board of directors or as an Officer. Describe the type of business.

Mark here if neither you nor your spouse serve on a Board of Directors or is an Officer of a for-profit business.

| Name and address of the Business   | Description of the Business |
|--|-----------------------------|
| self <input checked="" type="checkbox"/> spouse <input type="checkbox"/> Ashley Insurance Agency<br>Spencer WV 25274 | Insurance Agency            |
| self <input type="checkbox"/> spouse <input checked="" type="checkbox"/> Anita Harold Ashley Attorney<br>Spencer WV  | Law Practice                |
| self <input type="checkbox"/> spouse <input type="checkbox"/>  |                             |

### 8. Non-Profit Organization

List the name of each non-profit organization on which either you or your spouse serves on the Board of Directors or as an Officer.

Mark here if neither you nor your spouse serve on a Board of Directors or is an Officer of a non-profit.

| Name and address of the Organization   | Description of the non-profit         |
|--|---------------------------------------|
| self <input checked="" type="checkbox"/> spouse <input type="checkbox"/> Roane General Hospital  | Hospital                              |
| self <input checked="" type="checkbox"/> spouse <input type="checkbox"/> Allotak Boy Scout Council   | Boy Scout Council (Also Area Council) |
| self <input type="checkbox"/> spouse <input checked="" type="checkbox"/> Roane County College Scholarship<br>Roane General Hospital Foundation | Foundation                            |

### 9. Sales or Contracts with State, County or Local Government

During the past calendar year, did you or your spouse have any sales or contracts with any unit of state, county, or local government? Yes  No  Sales or contracts for goods or services may be either direct or through a partnership, corporation or association in which either you or your spouse owned or controlled more than (10%) ten percent. If yes, identify the government agency that purchased the goods or services, and describe the nature of the goods or services. (See the instruction sheet for more information about the Ethics Act's prohibition against having an interest in a public contract.) W. Va. Code § 6B-2-5(d)

| Name of Government organization  | Description of goods or services provided |
|--|---|
| Self Spouse X Example: State of WV DHHR  | Foster home placement studies             |
| Self X Spouse Example: Clay County Sheriff's Department  | Rental of garage space for patrol cars    |
| self <input type="checkbox"/> spouse <input checked="" type="checkbox"/> State of WV Public Defender | Lawyer for abused/neglected children      |
| self <input type="checkbox"/> spouse <input type="checkbox"/>  |   |
| self <input type="checkbox"/> spouse <input type="checkbox"/>  |   |

### 10. Adult Children – Public Employment

List the name and business address of any adult child or step-child employed by any unit of state, county or local Government.

Mark here if this question does not apply to you.

| Name of child or step-child | Business address                          |
|-----------------------------|---|
| Benjamin S. Ashley          | School Building Authority, Charleston, WV |
|                             |   |

Name: \_\_\_\_\_

### 11. DEBTS

**A: Owed to others:** List the names of all persons residing or transacting business in the state who you owe, in the aggregate, more than \$5,000, on the date of this statement. Include debts you owe in the name of any other person and debts on which you are a cosigner.

You **DO NOT** have to report:

1. Debts to immediate family members, parents, or grandparents
2. Home mortgages for your primary and secondary residences
3. Loans for autos maintained for the use of your immediate family
4. Student loans
5. Debts resulting from the ordinary conduct of your business, profession or occupation
6. Debts to a financial institution or to a credit card company

HOWEVER, if any debt over \$5,000 exempted above required the approval of the state or any of its political subdivisions, or if a loan was obtained from the "Linked Deposit Program" (W. Va. Code § 12-1A-1 et seq.), you must list the debt.

Mark here if you owe no debts as described above.

\_\_\_\_\_  
\_\_\_\_\_

**B: Owed to you:** List the names of all persons residing or transacting business in the state who owe you, in the aggregate, more than \$5,000, on the date of this statement, either in your name or any other person's name for your use or benefit.

You **DO NOT** have to report:

1. Debts from immediate family members, parents, or grandparents
2. Debts resulting from the ordinary conduct of your business, profession or occupation
3. Demand or saving accounts in banks, savings and loan associations, or other similar depositories
4. Loans by you to any business in which you have an ownership interest

Mark here if you owe no debts as described above.

\_\_\_\_\_  
\_\_\_\_\_

### 12. GIFTS

A **gift** is anything with monetary value, including meals and beverages. If you, your spouse, and/or any of your dependents received one or more gifts whose total value is over one hundred dollars (\$100) from a person, business, or organization who has a direct and immediate interest in a governmental activity over which you have control, then list the name of each giver UNLESS it falls into one of the exceptions listed below. "Total value" includes the cumulative fair market value of all gifts from the same source directly or indirectly, during the previous calendar year.

Gifts from the following sources are **NOT** reported.

1. your spouse, child, grandchild, parents or grandparents
2. a trust established by your spouse, child, grandchild, or ancestor
3. a will, or lawful inheritance in the absence of a will
4. a registered lobbyist (*registered lobbyists report these expenditures on Lobbyist Schedule A reporting Form*)

Mark here if you received no gifts as described above.

\_\_\_\_\_  
\_\_\_\_\_

Name: \_\_\_\_\_

**This page applies to questions 13 and 14 on the next page.**

**\*\* If you are an elected official, candidate, state or higher education employee, you do not need to complete this page. Please continue to page 7 and answer questions 13 and 14 about you and your spouse.**

**\*\* All other filers:** If you are appointed to serve on a State Board, Agency or Commission by the Governor and receive no compensation for your service, you may not be required to report certain financial information about your spouse. Complete Worksheet A to determine if the spousal exemption applies.

### **Worksheet A (for questions 13 and 14)**

**Part 1. Are you a Board, Agency or Commission Member appointed by the Governor?**

**YES** \_\_\_\_\_ Continue to part 2

**NO**  **DO NOT** complete parts 2 or 3 on this page. Continue to questions 13 and 14 on the next page and answer the questions for both you and your spouse.

**Part 2. Do you hold another office or employment position that requires you to file this Financial Disclosure Statement?**

**YES**  **DO NOT** complete part 3 of this page. Continue to questions 13 and 14 on the next page and answer the questions for both you and your spouse.

**NO** \_\_\_\_\_ Continue to part 3.

**Part 3. Complete this section to determine if you are exempt from disclosing certain financial information about your spouse in questions 13 and 14 on the next page.**

List on the line below, the name of the state Board, Commission or Agency of which you are an appointed member:

-----

**Check each box that applies:**

1.  There is no compensation, per diem, salary or other payment authorized by state law for serving on this board or commission. (Excluding travel or expense reimbursement) Note: the test is not whether you decline compensation but whether it is authorized by code, statute, or law.
2.  Neither my spouse nor a business with which he or she is associated is regulated by the State Board, Commission, or Agency on which I serve by appointment. ("Associated" is defined as a business in which your spouse, or his or her immediate family member, is a director, officer, owner, employee, compensated agent, or holder of stock which constitutes five percent or more of the total outstanding stocks of any class. "Immediate family member" means dependent children, grandchildren or parents.)
3.  Neither my spouse nor a business with which he or she is associated has a contract with, or receives any grants or appropriations from, the state Board, Commission, or Agency on which I (the filer) serve.

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➔ **If you have checked all three boxes, then answer questions 13 and 14 on the next page as they pertain only to you.**

➔ **If not, then answer all questions as they pertain to both you and your spouse.**

➔ **Verification & Signature:**

Under penalty of perjury, I hereby declare that the information provided herein is true.

Signature of Filer: \_\_\_\_\_

Print Filer Name: \_\_\_\_\_ Date: \_\_\_\_\_

Name: \_\_\_\_\_

**You must answer all questions on this page.**

**13. ALL Sources of Income over \$1,000 including Employment - (To determine if you must disclose income information about your spouse, refer to Worksheet A.)**

- a. List every source or category of income or employment over \$1,000 received by you and/or your spouse during the preceding calendar year in your name, or by any other person for your use or benefit. Include employment even if listed elsewhere on this statement.
- b. Include distributions received from retirement and pension accounts.
- c. Do not list specific names of clients or customers. For example, if you are a lawyer or an insurance agent, do not list the names of your clients.
- d. Do not disclose actual dollar amounts of income, only the source. See examples below.

Indicate if the income was received by you or your spouse by marking the appropriate box in the chart below.

| Category of income over \$1000  | Description (or job title)    |
|---|-------------------------------|
| self <input checked="" type="checkbox"/> spouse <input type="checkbox"/> Example: Social Security             | US Government                 |
| self <input checked="" type="checkbox"/> spouse <input checked="" type="checkbox"/> Example: Sold Real Estate | Sold residence in Beckley     |
| self <input checked="" type="checkbox"/> spouse <input type="checkbox"/> Example: Farming/Timber              | Sold timber from my farm      |
| self <input type="checkbox"/> spouse <input checked="" type="checkbox"/> Example: Employment                  | Teacher, Mingo county schools |
| self <input checked="" type="checkbox"/> spouse <input type="checkbox"/> Ashley Insurance Agency              | owner / Insurance Agency      |
| self <input type="checkbox"/> spouse <input type="checkbox"/> WV House of Delegates                           | Delegates                     |
| self <input type="checkbox"/> spouse <input type="checkbox"/> Daniel E. Looney, Incompetent                   | Committee                     |
| self <input checked="" type="checkbox"/> spouse <input type="checkbox"/> Reserve Oil & Gas                    | Investor in Gas Wells         |
| self <input type="checkbox"/> spouse <input checked="" type="checkbox"/> Anita Harold Ashley, Attorney        | Attorney                      |
| self <input type="checkbox"/> spouse <input type="checkbox"/>   |                               |

**14. Business and/or property Interest - (To determine if you must disclose business or property interests of you spouse, refer to Worksheet A.)**

List the name and address of each business in which, during the past calendar year, you or your spouse held an interest with a fair market value or \$10,000 or more, including but not limited to: non-publicly owned businesses, publicly or privately traded stocks, bonds or securities, including those held in self-directed retirement accounts; and commercial real estate. (For purposes of this question, DO NOT include mutual funds or specific holdings in mutual funds or retirement accounts. However, distributions from retirement accounts must be reported in question 13 if over \$1,000 annually. Attach additional sheets if necessary.

Mark here if neither you nor your spouse had any interest in a business or real estate as described above.

|   |       |
|---|-------|
| self <input type="checkbox"/> spouse <input checked="" type="checkbox"/> Example: Jones Coal Hauling, 123 Main Street, Placeville WV                  |       |
| self <input checked="" type="checkbox"/> spouse <input type="checkbox"/> Example: Stonefront Apartment Building, 123 Main Street, Charleston WV 25312 |       |
| self <input checked="" type="checkbox"/> spouse <input checked="" type="checkbox"/> Example: Acme Bank Stock, 788 Water Street, Cincinnati OH 34343   |       |
| self <input checked="" type="checkbox"/> spouse <input type="checkbox"/> Ashley Insurance Agency, Spencer WV  | 25276 |
| self <input type="checkbox"/> spouse <input checked="" type="checkbox"/> Anita Harold Ashley, Attorney, Spencer WV                                    | 25276 |
| self <input checked="" type="checkbox"/> spouse <input checked="" type="checkbox"/> Poca Valley Bank Stock, Walton WV                                 | 25286 |
| Premier Bank Stock, Spencer, WV   | 25276 |