Name: Letitia Neese Chafin

Return completed form to:
WV Ethics Commission
210 Brooks St., Ste 300
Charleston WV 25301
304-558-0664 or 1-866-558-0664

Candidate information, if applicable
County: Kanawha
Candidate for: Justice of the Supreme Court c
Date you filed for candidacy: January 9, 2
District or circuit if applicable _____

West Virginia Ethics Commission
Financial Disclosure Statement

W. Va. Code §§ 6B-2-6 and 7

Rev: 11-2011

2012 JAN 24 PM 12:43

Important!

- Please read and answer ***every question***. We will return incomplete forms to you for completion or correction.
- You must file a new Financial Disclosure Statement each year you are in your public position.
- If this is your annual filing, the statement is due by February 1.
- If you are a new appointee, this statement is due within 30 days of the date of your appointment.
- If you are a candidate for public office, this statement is due within 10 days of filing your *Certificate of Candidacy*.
- The information you provide on this statement should cover the past calendar year.
- You may attach additional pages or information to this form if necessary.

1. Name of filer and spouse

Filer last name Chafin First name Letitia
Spouse last name Chafin First name Harry Truman
County of residence Kanawha
Business (employment) address P.O. Box 1799
City / state / zip Williamson, West Virginia 25661

2. Candidate / Officeholder information

Do you currently hold a county, circuit or state elected office? **Yes** ____ **No** X

If yes, title of office _____

Are you a candidate or do you plan to become a candidate for public office in the next election? **Yes** X **No** ___ **N/A** ___

If yes, for what office: Supreme Court of Appeals of West Virgir Date you filed for candidacy January 9, 2012

3. Appointed positions on State Boards, Commissions or Agencies

List all State Boards, Commissions or Agencies on which you now serve or have served in the past 12 months by appointment of the Governor. Include recent appointments. ☐ Mark here if N/A

Marshall University Board of Governors

Name: Letitia Neese Chafin

4. Business Names

List all names under which you and/or your spouse conduct or do business. If you or your spouse is self-employed, list the name or names under which you or your spouse conducts the business, trade, sole proprietorship or profession.

☐ **Mark here if no business names**

self ☒ spouse ☒ The H. Truman Chafin Law Firm, PLLC

self ☐ spouse ☐

self ☐ spouse ☐

5. Regular Employment

For you and your spouse, list the name and address of each employer(s) during the past twelve months. Include all employment in city, county or state government positions as well as employment in the private sector, your job title and a general description of your job duties. For purposes of this question, an employer is one who provides you with a W-2 Form. Generally, this does not include self-employment if listed elsewhere on the form.

☐ **Mark here if neither you nor your spouse had regular employment during the past 12 months.**

	Employer Name and Address	Job title and duties of your position
self ☐ spouse ☒	1. State of West Virginia	State Senator
self ☐ spouse ☐	2.	
self ☐ spouse ☐	3.	
self ☐ spouse ☐	4.	

6. 20% Gross Income Categories for you and your spouse

Did you or your spouse receive more than 20% of your gross income during the past 12 months from any one or more of the categories listed below? Yes X No _____ If yes, mark with an 'X' all categories that apply to you and/or your spouse.

self	spouse	COMPANIES
☐	☐	Advertising
☐	☐	Beer, wine or liquor (or distributor)
☐	☐	Cable television
☐	☐	Chemical
☐	☐	Construction
☐	☐	Insurance
☐	☐	Intrastate transportation
☐	☐	Interstate transportation
☐	☐	Media
☐	☐	Manufacturing
☐	☐	Promotional
☐	☐	Race tracks
☐	☐	Recreation
☐	☐	Retail
☐	☐	Timber
☐	☐	Wholesale
☐	☐	Waste disposal

self	spouse	MINING
☐	☐	Surface mining
☐	☐	Mining equipment
☐	☐	Deep mining
		OIL OR GAS
☐	☐	Retail
☐	☐	Wholesale
☐	☐	Exploration
☐	☐	Production & Drilling
		UTILITIES
☐	☐	Electric
☐	☐	Gas
☐	☐	Telephone
☐	☐	Water
		FINANCIAL
☐	☐	Banks
☐	☐	Savings and Loan Associations
☐	☐	Loan or Finance Companies

self	spouse	GOVERNMENT
☐	☐	City or town
☐	☐	County
☐	☐	State
		ASSOCIATIONS OR ORGANIZATIONS
☐	☐	Labor Association/Organization
☐	☐	Professional Association
☐	☐	Association that promotes gaming or lottery
☐	☐	Association of public employees or public officials
☐	☐	Trade Association or Organization
		OTHER
☐	☐	Economic Development
☐	☐	Hospitals or other health care providers
☐	☐	Information Technology
☑	☑	Legal service providers
☐	☐	Lobbying

Name: Letitia Neese Chafin

Worksheet A: If you are appointed to serve on a State Board or Commission by the Governor and receive no compensation for your service, you may not be required to report certain financial information about your spouse. Complete this worksheet to determine if this exception applies to you.

STOP **Please read and answer Parts 1 & 2 before you complete this page.**

Part 1. *Are you a Board or Commission Member appointed by the Governor?*

YES X ____ *Continue on to Part 2*

NO ____ ***DO NOT*** *complete this page. Return to the disclosure statement and answer* ***all*** *questions for both you and your spouse.*

Part 2. *Do you hold another office or employment position that requires you to file this Financial Disclosure Statement?*

YES X ____ ***DO NOT*** *complete the rest of this page. Return to the statement and answer* ***all*** *questions for both you and your spouse.*

NO ____ *Continue on to complete and sign Part 3 of this Worksheet A.*

Part 3. Complete this section to determine if you are exempt from disclosing certain financial information about your spouse as requested in questions 7 and 8.

I am an appointed member of the following state Board, Commission or Agency:

Please check all that apply:

1. ☐ There is no compensation, per diem, salary or other payment authorized by state law for serving on this board or commission. (Excluding travel or expense reimbursement.) Note: The test is not whether you decline compensation but whether it is authorized by code, statute, or law.

2. ☐ Neither my spouse nor a business with which he or she is associated is regulated by the State Board, Commission, or Agency on which I serve by appointment. ("*Associated*" is defined as a business in which your spouse, or his or her immediate family member, is a director, officer, owner, employee, compensated agent, or holder of stock which constitutes five percent or more of the total outstanding stocks of any class. "*Immediate family member*" means dependent children, grandchildren or parents.)

3. ☐ Neither my spouse nor a business with which he or she is associated has a contract with, or receives any grants or appropriations from, the state Board, Commission, or Agency on which I serve.

Only if you have checked boxes 1, 2, and 3, are you exempt from answering questions 7 & 8 as they pertain to your spouse. You, as the filer must answer questions 7 & 8 as they pertain to you. Please answer all other questions as they pertain to both filer and spouse.

----------VERIFICATION & SIGNATURE----------

Under penalty of perjury, I hereby declare that the information provided herein is true.

Signature of Filer: Letitia Neese Chafin

Print Filer Name: Letitia Neese Chafin Date: 1/17/12

Name: Letitia Neese Chafin

7. *List ALL* Sources of Income over $1,000

(NOTE: Please read the instruction sheet and Worksheet A before you complete this question.)

- ***As the filer of this statement, you must answer this and all questions as they apply to you.***
- ***To determine if you must disclose income information about your spouse, refer to Worksheet A on page.4.***

Identify by category every source of income over $1,000 received by you and/or your spouse during the preceding calendar year in your name, or by any other person for your use or benefit. Include distributions received from retirement and pension accounts. If you derive income from a business, profession or occupation, you are not required to disclose the **individual** sources and items of income that constitute the gross income of that business, profession or occupation. *For example*, if you are an insurance agent, do not list the names of your clients. Do not disclose actual dollar amounts of income, only the source. (See examples below and the instruction sheet for more information)

Indicate if the income was received by you or your spouse by marking the appropriate box in the chart below.

	Source or category of income over $1000	Description of income activity (or job title)
self ***X*** *spouse*	*Example: ABC Engineering*	*Director of Accounting*
self spouse ***X***	*Example: BB&T Bank*	*CD Interest and Retirement Account Distributions*
self ■ spouse ■	The H. Truman Chafin Law Firm, PLLC	Legal Work
self ☐ spouse ■	WV Media Management, LLC	Dividend Distribution
self ■ spouse ■	Merrill Lynch	General dividends and distributions from stock and bond holdings
self ■ spouse ☐	Scott & Stringfellow	General dividends and distributions from stock and bond holdings
self ■ spouse ☐	J.P. Morgan	General dividends and distributions from stock and bond holdings
self ☐ spouse ☐		
self ☐ spouse ☐		
self ☐ spouse ☐		

8. Business Interests and Commercial Real Estate

(NOTE: Please read the instruction sheet and Worksheet A on page 4 before you complete this question.)

- ***As the filer of this statement, you must answer this and all questions as they apply to you.***
- ***To determine if you must disclose business and real estate information about your spouse , see Worksheet A.***

Provide the name and address of each business in which, during the past year, you or your spouse held an interest with a fair market value of $10,000 or more, including but not limited to: Non-publicly owned businesses, publicly or privately traded stocks, bonds or securities, including those held in self- directed retirement accounts; and commercial real estate. (For purposes of this question, *DO NOT include mutual funds or specific holdings in mutual funds or retirement accounts. However, distributions from retirement accounts may be reportable in question 7 if over $1,000 annually.*) Attach additional sheets if necessary.

☐ **Mark here if neither you nor your spouse had any business interests meeting these criteria.**

self spouse X	*Example: Jones Coal Hauling, Placevlle WV*
self X spouse	*Example: Stonefront Apartment Building, 123 Main Street, Charleston WV*
self ■ spouse ■	The H. Truman Chafin Law Firm, PLLC
self ■ spouse ■	See attached list of Stocks and bonds
self ☐ spouse ☐	

Name: Letitia Neese Chafin

9. For-Profit Business Officer or Member of Board of Directors

List the name of each for-profit business on which either you or your spouse serves on the Board of Directors or as an Officer of the Business. Describe the type of business.

☐ **Mark here if neither you nor your spouse serve on a Board of Directors or is an Officer.**

Name and address of Business	Description of the type of Business
self ☐ spouse☐	
self ☐ spouse☐	
self ☐ spouse☐	

10. Non-Profit Organizations

List the name of each Non-Profit Organization on which either you or your spouse serves on the Board of Directors or as an Officer of the Organization.

☐ **Mark here if neither you nor your spouse serve on a Board of Directors or is an Officer.**

Name and address of the Organization	Description of the non-profit
self ■ spouse☐ The Education Alliance P.O. Box 3071, Charleston West Virginia 25331	Promotes education in public schools grades k-12
self ■ spouse☐ The Children's Home Society 1422 Kanawha Blvd, Charleston, West Virginia 25330	Serves Families and Children throughout West Virginia
self ☐ spouse☐	

11. Sales or Contracts with Governmental Entities *(See the instruction sheet for more information about the Ethics Act's prohibition against having an interest in a public contract.) W. Va. Code § 6B-2-5(d)*

During the past calendar year, did you or your spouse contract for the sale of any goods or services to a state, county, municipal or other local governmental agency, either directly or through a partnership, corporation or association in which either you or your spouse owned or controlled more than (10%) ten percent? **Yes** _____ **No** X

If yes, identify the governmental agency that purchased the goods or services, and describe the nature of the goods or services.

Name of Governmental entity	Description of goods or services provided
self spouse ***X*** *Example: State of WV DHHR*	*Foster home placement studies*
self ***X*** *spouse Example: Pike County Sheriff's Department*	*Rental of garage space for patrol cars*
self ☐ spouse☐	
self ☐ spouse☐	
self ☐ spouse☐	

12. Adult Children – Public Employment

Please list the name and business address of any adult child or step-child employed by State, County or Municipal Government.

■ **Mark here if this question does not apply to you.**

Name of child or step-child	Business name and address

Name: Letitia Neese Chafin

13. DEBTS

A: Owed to others: List the names of all persons residing or transacting business in the state, which you owe, in the aggregate, more than $5,000, on the date of this statement. Include debts you owe in the name of any other person and debts on which you are a cosigner.

You **DO NOT** have to report:

1. Debts to immediate family members, parents, or grandparents
2. Home mortgages for your primary and secondary residences
3. Loans for autos maintained for the use of your immediate family
4. Student loans
5. Debts resulting from the ordinary conduct of your business, profession or occupation
6. Debts to a financial institution or to a credit card company

HOWEVER, if any debt over $5,000 exempted above required the approval of the state or any of its political subdivisions, or if a loan was obtained from the "Linked Deposit Program" (W.Va. Code §12-1A-1 et seq.), the debt must be listed.

☐ **Mark here if you owe no reportable debts as described above.**

B. Owed to you: List the names of all persons residing or transacting business in the state, who owe you, in the aggregate, more than $5,000, on the date this statement is executed, either in your name or any other person's name for your use or benefit.

You **DO NOT** have to report:

1. Debts from immediate family members, parents, or grandparents
2. Debts resulting from the ordinary conduct of your business, profession or occupation
3. Demand or saving accounts in banks, savings and loan associations, or other similar depositories
4. Loans by you to any business in which you have an ownership interest

☐ **Mark here if you had no reportable debts owed to you as described above.**

14. GIFTS

A **gift** is anything with monetary value, including meals and beverages. If you, your spouse, and/or any of your dependents received one or more gifts whose total value is over one hundred dollars ($100) from a person who has a direct and immediate interest in a governmental activity over which you have control, then list the name of each giver UNLESS it falls into one of the exceptions listed below. "Total value" includes the cumulative value of all gifts from the same source directly or indirectly, during the previous calendar year.

Gifts from the following sources are **NOT** reported.

1. your spouse, child, grandchild, parents or grandparents
2. a trust established by your spouse, child, grandchild, or ancestor
3. a will, or lawful inheritance in the absence of a will
4. a registered lobbyist (*registered lobbyists report these expenditures on Lobbyist Reporting Form, Schedule A)*

☐ **Mark here if you received no reportable gifts.**

NO. 8 BUSINESS INTERESTS AND COMMERCIAL REAL ESTATE:

SELF:

Bond Holdings:
West Virginia St. RFDG
West Virginia State
West Virginia St. Hospital
West Virginia University
West Virginia St.
West Virginia St.
Indiana St. Fin Auth
West Virginia St. School Bldg.
Best Buy, Inc.
South Carolina Jobs ECON
Hillsborough FL SCH BD
West Virginia St. Hospital FIN
Circus Circus
Hilton Hotel Corp.
Sears Holdings Corp.
Arch Coal, Inc.

Publicly Traded Companies
Kinder Morgan MGMT LLC
INTEL Corp.
Abbott Laboratories
Pfizer, Inc.
Taiwan Semiconductor
Rogers Communications Inc.
Travelers Companies, Inc.
Philip Morris International Inc.
Pearson PLC
Diageo PLC NEW
Chevron Corp.
Novartis AG
Microsoft Corp.
McDonalds Corp.
PEPSICO, Inc.
Digital Realty Trust Inc.
Emerson Electric Company
Merck & Company, Inc. NEW
OMNICOM Group, Inc.

Gilead Sciences Inc.
Conocophillips
TEVA Pharmaceutical Industries Limited
Waste Management Inc. DEL
UnitedHealth Group, Inc.
Texas Instrument, Inc.
CISCO Systems, Inc.
Activision Blizzard, Inc.
YUM Brands Inc.
DELL Inc.
Baxter International INC.
COMCAST Corp. Class A NEW
Ford Motor Company NEW
EOG Resources, Inc.
McKesson Corp.
Broadcom Corp. Class A
Intuit Inc.
Archer Daniels Midland Company
Natural Resources Partners LP Limited
Alexandria Real Estate Equities Inc.
Paychex Inc.
EBAY, Inc.
Yamana Gold, Inc.
Simon Property Group, Inc. NEW
Harris Corp. DEL
Wells Fargo & Co. NEW
Occidental Petroleum Corp.
Adobe Systems, Inc. Delaware
FEDEX Corp.
Costco Wholesale Corp.
Schwab Charles Corp. NEW
American Express Company
Public Storage
Halliburton Company
Apache Corp.
Citigroup Inc. NEW
Blackrock, Inc.
Ventas, Inc.
Devon Energy Corp. NEW
CVS Caremark Corp.
Prologis Inc.
Target Corp.
Firstmerit Corp.

EOG Resources Inc.
Energizer Hldgs. Inc.
3D Systems Corp. DEL NEW
Bottomline Tech. Del Inc.
IberiaBank Corp.
Briggs & Stratton Corp.
Bank of New York
Bank of New York Mellon Corp.
Dole Food Company, Inc. NEW
Illinois Tool Works, Inc.
Gentex Corp.
Advanced Energy Industries, Inc.
Merck & Company Inc. NEW
Sandridge Energy Inc.
Dupont Fabros Technology Inc.
McDermott International Inc.
Montpelier RE Holdings Limited
American Campus Communities Inc.
Plum Creek Timber Company, Inc.
Higher One Holdings Inc.
Loews Corp.
Berkshire Hathaway Inc. DE CL B NEW
Weatherford International LTD
Macerich Company
Suncor Energy Inc. NEW
M D C Holdings Inc.
Genl Cable Corp.
Ocwen Financial Corp. NEW
Rayonier INC.
Canadian Natural Resources Ltd.
TNS Inc.
Nestles A SPNSD ADR REPSTING REG. SHS
Altisource Portfolio Solutions S A
Titanium Metals Corp. NEW
KVH Industries, Inc.
Eastgroup PPTYS, Inc.
Canadian Pacific Railway Ltd.
Babcock & Wilcox Company NEW

SPOUSE:

Stocks:
WV Media Management, LLC

Amazon Company, Inc. COM
Anheuser-Busch INBEV ADR
Apache Corp.
Bed Bath & Beyond, Inc.
BHP Billiton LTD ADR.
Biogen IDEC INC.
Caterpillar Inc. DEL
Celgene Corp. COM
Chevron Corp.
Cisco Systems, Inc. COM
Coca Cola COM
Comcast CRP NEW CL A SPL
CVS Caremark Corp.
Deere Co.
EBAY Inc. COM
Exxon Mobile Corp. COM
General Electric
Google Inc. CL A
Home Depot, Inc.
Johnson and Johnson COM
JPMorgan Chase & Co.
Juniper Networks Inc.
Microsoft Corp.
Procter & Gamble Co.
Schlumberger Ltd.
State Street Corp.
Texas Instruments
Unilever NV NY REG SHS
Verizon Communications COM
VISA INC. CL A SHRS
Vodafone Grop PLC SP ADR
Activision Blizzard Inc.
Apple Inc.
Chevron Corp.
Exxon Mobil Corp. Com
Ishares MSCI Emerging Mkts Index FD
Novartis ADR
Sanofi ADR

Bonds:
US Treasury Note 0.875%
Feb. 29, 2012 00.875% Feb. 29, 2012
US Treasury Inflation BON

2.5% Jan. 15, 2029 INFL ADJ PRIN
FCR 1.0541
FED Nat'l Mortgage Assoc
Global Notes 04.375% SEP 15 2012
Federal Home LN MTG Corp.
Notes 04.875% Nov. 15, 2013
Federal Natl. MTG Assoc.
Notes 05.375% June 12, 2017
US Treasury Note 1.000%
Oct. 31, 2016 01.000% Oct. 31, 2016

Mortgage/Asset Backed:
FNMA PAL0065 04 50% 2041
AMORTIZED FCR .89497

SELF AND SPOUSE:
Bonds:
WV ECONOMIC
DEV AUTH LEASE REV ST.
DEC05 04.750% JUN 01 225